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10/635,807 Application Number **TRANSMITTAL** Filing Date August 5, 2003 **FORM** RUBENSTEIN, EDWARD First Named Inventor to be used for all correspondence after initial filing) Art Unit Unassigned **Examiner Name** Unassigned Total Number of Pages in This Submission Attorney Docket Number 018050-000140US ENCLOSURES (Check all that apply) Fee Transmittal Form Drawing(s) After Allowance Communication to Group Appeal Communication to Board of Appeals Fee Attached Licensing-related Papers and Interferences Petition Appeal Communication to Group (Appeal Amendment/Reply Notice, Brief, Reply Brief) Petition to Convert to a After Final □ Proprietary Information **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Request for Refund Return Postcard Express Abandonment Request CD. Number of CD(s) PTO/SB/08A and /08B Form Information Disclosure Statement 0 Reference Copies The Commissioner is authorized to charge any additional fees to Deposit Certified Copy of Priority Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm James M. Heslin Reg. No. 29,541 Individual Signature Date CERTIFICATE OF TRANSMISSION/MAILING hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name **Edward Masinas** Date Signature 08-27-03

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Attorney Docket No.: 018050-000140US

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08-27-03

NSEND and TOWNSEND and CREW LLP

Edward Masinas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

EDWARD RUBENSTEIN et al.

Application No.: 10/635,807

Filed: August 5, 2003

For: INTERNALLY POWERED CSF PUMP SYSTEMS AND METHODS

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application Nos. 09/654,967 or 08/901,023, filed 09/05/00 and 07/25/97 (Attorney Docket Nos. 018050-000120US and 018050-000110US, respectively). It is requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Application No.: 10/635,807

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses

all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit

any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg. No. 29,541

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known					
Applicati n Number	10/635,807				
Filing Dat	August 5, 2003				
First Named Inventor	RUBENSTEIN, EDWARD, et. al.				
Art Unit	Unassigned				
Examiner Name	Unassigned				
Attorney Docket Number	018050-000140US				

U.S. PATENT DOCUMENTS							
Examiner	Cite Number Kind Code ² (if known) Publication Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	A	3,654,932	04/1972	Newkirk et al.	,		
	В	3,864,259	02/1975	Newhart			
	С	3,886,948	06/03/75	Hakim			
	D	4,156,422	05/29/79	Hildebrandt et al.			
	Е	4,261,341	04//1981	Hakim et al.			
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	G	4,560,375	12/24/85	Schulte et al.			
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	K	4,673,384	06/16/87	Marion			
	L	4,675,003	06/1987	Hooven			
_	M	4,681,559	07/1987	Hooven			
	N	4,713,065	12/1987	Koot			
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	· Q	5,039,511	08/13/91	Quay			
	R	5,069,663	12/1991	Sussman			
	S	5,167,615	12/1992	East et al.			
	Т	5,334,315	08/02/94	Matkovich et al.			
	U	5,336,166	08/09/94	Sierra			
	V	5,368,556	11/1994	Lecuyer			
	W	5,385,541	01/31/95	Kirsch et al.			
	X	5,387,188	02/07/95	Watson			

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Applicati n Number	10/635,807
Filing Date	August 5, 2003
First Named Inventor	RUBENSTEIN, EDWARD, et. al.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	018050-000140US

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		Document Number		i	
Examiner	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Y	5,425,368	06/20/95	Brandt	
	Z	5,462,667	10/31/95	Wollinsky et al.	
	AA	5,601,985	02/11/97	Trojanowski et al.	
	BB	5,643,194	07/1997	Negre	
	CC	5,643,195	07/1997	Drevet et al.	
	AA	5,980,480	11/1999	Rubenstein et al.	

		-		FOREIGN PA	TENT DOCUME	NTS		
Examiner	Cite	Foreig	gn Patent Doc	ument		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code ³	Number⁴	Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	IM-DD-YYYY Applicant of Cited Passages or F	Passages or Relevant Figures Appear	T ⁶
	AB	FR2685206	06/1993	France				
	AC	EP 478842	04/1992	Europe				
	AD	EP 117695	09/1984	Europe				
	AE	EP 115973	08/1984	Europe				
	AF	FR2354103	01/1978	France				

Examiner Signature	Date Considere	ed

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Compl te if Kn wn Applicati n Number 10/635,807 Filing Date August 5, 2003 **First Named Inventor** RUBENSTEIN, EDWARD, et. al. Art Unit Unassigned **Examiner Name** Unassigned 018050-000140US Attorney Docket Number

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FOREIGN PATENT DOCUMENTS Foreign Patent Document Pages, Columns, Lines, Cite Examiner Name of Patentee or Where Relevant **Publication Date** Applicant of Cited Initials* No. Passages or Relevant MM-DD-YYYY Number Τ⁶ Document Country Code³ Kind Code⁵ (if known) Figures Appear NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue T² Examiner No.1 Initials 1 number(s), publisher, city and/or country where published. Adams et al., "Disturbances of cerebrospinal fluid circulation, including hydrocephalus AG and meningeal reactions," Principles of Neurology, Fourth Edition, Chapter 30, 1989, pp. AH Adams, R.D. et al., "Symptomatic occult hydrocephalus with 'normal' cerebrospinal-fluid pressure" The New England Journal of Medicine, Vol. 273, No. 3, pages 117-126 (July 15, 1965). Appenzeller, Otto & Salmon, James H., "Treatment of parenchymatous degeneration of ΑI the brain by ventriculo-atrial shunting of the cerebrospinal fluid, Journal of Neurosurgery, Volume XXVI, pages 478-482, (January-June 1967). AJ Arai et al., "Tau in cerebrospinal fluid: a potential diagnostic marker," Ann. Neurology, vol. 38, 1995, pp. 649-652. ΑK Bannister, Roger, et al., "Isotope encephalography in the diagnosis of dementia due to communicating hydrocephalus" The Lancet, pgs. 1014-1017, (November 11, 1967). AL Barnett et al., "Normal pressure hydrocephalus in children and young adults" Neurosurgery (1987) 20(6):904-907. Boon et al., "Does CSF outflow resistance predict the response to shunting in patients AM with normal pressure hydrocephalus?" Acta Neurochir. (1998) 71:331-333. AN Boon et al., "Dutch normal-pressure hydrocephalus study: Prediction of outcome after shunting by resistance to outflow of cerebrospinal fluid" J. Neurosurg. (1997) 87:687-AO Bush et al., "Beta A-4 amyloid protein and its precursor in Alzheimer's disease," Pharmac. Tera., Vol. 56, 1992, pp. 97-117.

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Complete if Known Applicati n Number 10/635,807 **Filing Date** August 5, 2003 **First Named Inventor** RUBENSTEIN, EDWARD, et. al. Art Unit Unassigned **Examiner Name** Unassigned

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Page 6 of Attorney Docket Number 018050-000140US

			FOREIGN PA	TENT DOCUME	ENTS		
Examiner Initials*	Cite No. ¹		tent Document mber ⁴ Kind Code ⁶ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	AP Chen, I.H. et al., "Effectiveness of shunting in patients with normal pressure hydrocephalus predicted by temporary, controlled-resistance, continuous lumbar drainage: a pilot study," Journal of Neurology, Neurosurgery, and Psychiatry, 1994, S/1430-1432.						
	AQ	Clarfield, "Norma 262(18):2592-259		nalus: Saga or sv	vamp?" <i>JAMA</i> (1989))	
	AR		, "The predictive valu Arq. Neurosiquiatr. (nal fluid tap-test in no	rmal pressure	
	AS Friedland, "Normal-pressure hydrocephalus and the saga of the treatable dementias" JAMA (1989) 262(18):2577-2581.						
	AT Golomb et al., "Alzheimer's disease comorbidity in normal pressure hydrocephalus: prevalence and shunt response" J. Neurol. Neurosurg. Psych. (2000) 68:778-781.						
	AU						
	AV	Gustafson et al., "Recovery in hydrocephalic dementia after shunt operation" J. Neurol. Neurosurg. Psych. (1978) 41:940-947.					
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	AZ	Ko et al., "Cerebr pp. 1226-1235, Se		system" Proceed	lings of the IEEE, Vo	l. 76, No. 9,	
	BA	neuropeptides in o		patients with de	beta ₂ -microglobulin mentia of the Alzheir		

Examiner	Date	
Signature	Considered	

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		FOREIGN PATEN	T DOCUME	ENTS				
Examiner Initials*	Cite No.1		Publication Date Name of Patentee or Where Relevan Applicant of Cited Passages or Relevan Passages o					
	BB	Mataró et al., "Cognitive changes after cere spina bifida and assumed arrested hydrocep 68:615-621.	brospinal fi halus" <i>J. N</i>	luid shunting in young eurol. Neurosurg. Psy	g adults with ych. (2000)			
	BC	Mogilner et al., "Hydorcephalus: Does coexistent Alzheimer's disease affect outcome?" Poster Presentation from AANS 1999 New Orleans, http://cnshome.org/abstrats, 2 pages total.						
	BD	Nakamura et al., "Amyloid beta protein leve onset Alzheimer's disease," Ann. Neurolog	els in cereb y, Vol. 36,	rospinal fluid are elev 1994, pp. 903-911.	vated in early-			
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	BF	Salmon, James H., "Senile and presenile dementia" Geriatrics (December 1969) 24 (12): 67-72.						
	BG	Shenkin et al., "Ventricular shunting for reli 225(12):1486-1489.	ief of senile	symptoms" JAMA (1973)			
	ВН	SkinhØj, Erik, "Determination of regional conference proceedings, held at the Univers Education with Joseph P. Evans as host. No	ity of Chica	go Center for Contin	1 injury uing			
	BI Vanneste et al., "Shunting normal-pressure hyrocephalus: Do the benefits outweigh the risks?" Neurol. (1992) 42:54-59.							
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	BK	Williams et al., "Evaluation of shunt function than worse after shunt surgery for NPH" Act	n in patient	s who are never bette	r, or better			
	BL	Williams et al., "Comparison of Pcsf moniton normal pressure hydrocephalus" Acta Neuro	ring and co	ntrolled CSF drainag				

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STATEMENT BY APPLICANT		First Named Inventor	RUBENSTEIN, EDWARD, et. al.				
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Page	8	of	Attorney Docket Number	018050-000140US			

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Examiner Initials*	Cite No.1	Fore Country Code 3	ign Patent Do	cument Kind Code ⁶ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	ВМ	Committee on Medical Rating of Physical Impairment (McKeown, Raymond M. et al.,) "The Central Nervous System, JAMA, "The Journal" Fifth in a series pgs. 24-35, (July 6, 1963).						
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